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Appln. Trans.
PATENT

#4-A
da
12/3/01

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. A32000-A-072667.0172

First Named Inventor YANNICK BATARD

Express Mail Label No. EK938097140US

Total Pages

November 15, 2000

BY EXPRESS MAIL - Label No. DEK938097140US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of YANNICK BATARD, FRANCIS DURST, MICHEL SCHALK and DANIELE WERCK-REICHART entitled RECODING OF DNA SEQUENCES PERMITTING EXPRESSION IN YEAST AND OBTAINED TRANSFORMED YEAST

which includes:

<input checked="" type="checkbox"/> Specification	<u>42</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>6</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input type="checkbox"/> Drawing(s)	<u> </u> Total Sheets
- formal	
- informal	

☒ Combined Declaration and Power of Attorney 3 Total Pages
☐ Newly executed (original or copy)
☒ Copy from a prior application
(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☒ Continuation ☐ Divisional
of prior application No. 09/158,767

☐ Continuation-In-Part (CIP)

☒ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☒ continuation ☐ divisional ☐ continuation-in-part
of copending application Serial No. 09/158,767 filed September 23, 1998."

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- ☒ An Assignment of the invention to RHONE-POULENC AGRO.
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☐ will follow.
☒ has been filed in the prior application
- ☐ Small Entity Statement(s) **ENCLOSED**.
☐ Small Entity Statement filed in prior application. Status still proper and desired.
- ☒ Information Disclosure Statement (IDS) PTO-1449
☒ Copies of IDS Citations.

☒ Preliminary Amendment

☒ Return Receipt Postcard

☒ Other Letter Under 37 C.F.R. 1.821(e)

☐ Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) <u>No. Filed</u>	(Col. 2) <u>No. Extra</u>	Small Entity <u>Rate</u>	Fee	OR	Other Than A Small Entity <u>Rate</u>	Fee
Basic Fee							\$710.00
Total Claims	28	-20 =	8	x 9 =		x 18 =	\$144.00
Ind. Claims	2	-3 =	0	x 40 =		x 80 =	\$0.00
				+ 135 =		+ 270 =	
Multiple Dependent Claim							<u>\$854.00</u>
			Total				<u>\$0.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

- ☒ Enclosed \$854.00
- ☒ Basic filing fee \$0.00
- ☐ Recording Assignment
 [\$40.00; 37 CFR 1.21(h)]
- Total Fees Enclosed \$854.00

☒ A check in the amount of \$854.00 to cover filing fee is enclosed.

00713794-11500

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PATENT

Attorney Docket No. A32000-A-072667.0172

Priority

[X] Priority of application Country FRANCE, Appln. No. 9712094 filed September 24, 1997 is claimed under 35 U.S.C. 119.

[X] Certified Copy of Priority Document(s) Country FRANCE, Appln No. 9712094, filed September 24, 1997.

☐ is/are attached ☐ will follow ☒ has been filed in the parent application S/N 09/158,767.

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By

Janet M. MacLeod

Janet M. MacLeod

PTO Registration No. 35,263

Enclosures

005111-4430